

# Left Recursion by Recursive Ascent

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## Abstract

Recursive-descent parsers can not handle left recursion, and several solutions to this problem have been suggested. This paper presents yet another solution. The idea is to modify recursive-descent parser so that it reconstructs left-recursive portions of syntax tree bottom-up, by "recursive ascent".

## Keywords

parsing, recursive descent, left recursion

## 1. Introduction

Recursive-descent parser is a collection of "parsing procedures" that correspond to different syntactic units and call each other recursively. Some of these procedures must choose what to call next, and the choice is made by looking at the input ahead, on depth-first basis. This process does not work if the grammar is left-recursive: the procedure may indefinitely call itself, facing the same input. One suggested solution [1] counts the number of recursive calls of each procedure and stops when it reaches a pre-set bound. The process is repeated with the bound starting with 1 and gradually increased. Another solution [2, 3] saves the input consumed by invocations of parsing procedures and tricks the parser to use the saved result instead of making recursive call. The third idea sees parsing as reconstruction of input's syntax tree. The classical process builds that tree top-down, but [4] suggests that portions of the tree involved in left recursion can be reconstructed starting from the bottom. We present here an approach based on this idea. To reconstruct portions of the tree bottom-up we use procedures that call each other recursively. These procedures can be regarded as new parsing procedures. We incorporate them into the recursive-descent parser, and the result is recursive-descent parser for a new grammar, referred to as the "dual grammar". The dual grammar is (normally) not left-recursive and defines the same language as the original one.

After the necessary definitions in Section 2, Section 3 gives an example of recursive ascent, and shows that the procedures performing it can be seen as parsing procedures for new non-terminals. Section 4 incorporates these new non-terminals into the original grammar, thus obtaining the dual grammar. Two Propositions state the essential properties of that grammar. Section 5 discusses handling choice expressions, and Section 6 discusses the elements omitted to simplify the presentation. Section 7 contains some final remarks: relation to other solutions and unsolved problems. Proofs of the Propositions are given in the Appendix.

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## 2. Basic concepts

We consider a BNF-like grammar  $G = (\mathbb{N}, \Sigma, \mathbb{E}, \mathcal{E}, N_s)$  with finite set  $\mathbb{N}$  of *non-terminals*, finite set  $\Sigma$  of *terminals*, finite set  $\mathbb{E}$  of *expressions*, function  $\mathcal{E}$  from non-terminals to expressions, and the *start symbol*  $N_s$ .

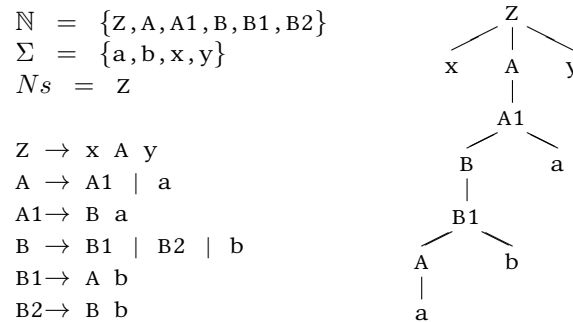
An expression is one of these:

- $a \in \Sigma$  ("terminal"),
- $N \in \mathbb{N}$  ("non-terminal"),
- $e_1 \dots e_n$  ("sequence"),
- $e_1 | \dots | e_n$  ("choice"),

where each of  $e_i$  is an expression. The function  $\mathcal{E}$  is defined by a set of rules of the form  $N \rightarrow e$ , where  $e$  is the expression assigned by  $\mathcal{E}$  to non-terminal  $N$ . We often write  $N \rightarrow e$  to mean  $e = \mathcal{E}(N)$ . To simplify the presentation, we did not include the empty string  $\varepsilon$  among expressions. In the following, expressions  $a \in \Sigma$  and  $N \in \mathbb{N}$  will be viewed as special cases of choice expression with  $n = 1$ .

Non-terminal  $N \rightarrow e_1 \dots e_n$  *derives* the string  $e_1 \dots e_n$  of symbols, while  $N \rightarrow e_1 | \dots | e_n$  derives one of  $e_1, \dots, e_n$ . The derivation is repeated to obtain a string of terminals. This process is represented by syntax tree.

Figures 1 and 2 are examples of grammar  $G$ , showing syntax trees of strings derived from the start symbol. The set of all strings derived from  $N \in \mathbb{N}$  is called the *language* of  $N$  and is denoted by  $\mathcal{L}(N)$ .



**Figure 1:** Example of grammar  $G$  and syntax tree of 'xabay'

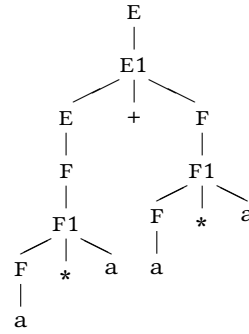
For  $N \in \mathbb{N}$  and  $e \in \mathbb{N} \cup \Sigma$ , define  $N \xrightarrow{\text{first}} e$  to mean that parsing procedure for  $N$  may call that for  $e$  on the same input. We have thus:

- If  $N \rightarrow e_1 \dots e_n$ ,  $N \xrightarrow{\text{first}} e_1$ .
- If  $N \rightarrow e_1 | \dots | e_n$ ,  $N \xrightarrow{\text{first}} e_i$  for  $1 \leq i \leq n$ .

Let  $\xrightarrow{\text{First}}$  be the transitive closure of  $\xrightarrow{\text{first}}$ . Non-terminal  $N \in \mathbb{N}$  is *recursive* if  $N \xrightarrow{\text{First}} N$ . The set of all recursive non-terminals of  $G$  is denoted by  $\mathbb{R}$ . All non-terminals in Figure 1 except  $Z$ , and all non-terminals in Figure 2 are recursive.

$$\begin{aligned} \mathbb{N} &= \{E, E1, F, F1\} \\ \Sigma &= \{a, b, x, z\} \\ N_s &= E \end{aligned}$$

$$\begin{aligned} E &\rightarrow E1 \mid F \\ E1 &\rightarrow E + F \\ F &\rightarrow F1 \mid a \\ F1 &\rightarrow F * a \end{aligned}$$



**Figure 2:** Example of grammar  $G$  and syntax tree of 'a\*a+a\*a'

Define relation between recursive  $N_1, N_2 \in \mathbb{R}$  that holds if  $N_1 \xrightarrow{\text{First}} N_2 \xrightarrow{\text{First}} N_1$ . This is an equivalence relation that partitions  $\mathbb{R}$  into equivalence classes. We call them *recursion classes*. The recursion class of  $N$  is denoted by  $\mathbb{C}(N)$ . All non-terminals in Figure 1 belong to the same class; the grammar of Figure 2 has two recursion classes:  $\{E, E1\}$  and  $\{F, F1\}$ .

In syntax tree, the leftmost path emanating from any node is a chain of nodes connected by  $\xrightarrow{\text{first}}$ . Suppose  $N_1$  and  $N_2$  belonging to the same recursion class  $\mathbb{C}$  appear on the same leftmost path. Any non-terminal  $N$  between them must also belong to  $\mathbb{C}$ , which follows from the fact that  $N_1 \xrightarrow{\text{First}} N \xrightarrow{\text{First}} N_2 \xrightarrow{\text{First}} N_1$ . It means that members of  $\mathbb{C}$  appearing on the same leftmost path must form an uninterrupted sequence. We call such sequence a *recursion path* of class  $\mathbb{C}$ . The only recursion path in Figure 1 is the whole leftmost path from the first A without final a. The syntax tree in Figure 2 has two recursion paths, one starting with E and another with F.

Let  $N \rightarrow e_1 \dots e_n$  be on a recursion path. The next item on the leftmost path is  $e_1$ , and it must belong to  $\mathbb{C}(N)$  to ensure  $N \xrightarrow{\text{First}} N$ . It follows that the last item on a recursion path must be  $N \rightarrow e_1 | \dots | e_n$  where at least one of  $e_i$  is not a member of  $\mathbb{C}(N)$ . Such  $N$  is called an *exit* of  $\mathbb{C}(N)$ , and its alternatives outside  $\mathbb{C}(N)$  are the *seeds* of  $\mathbb{C}(N)$ . In Figure 1, both A and B are exits, and the seeds are a and b. In Figure 2, E and F are exits of their respective classes, and the corresponding seeds are F and a.

A recursive  $N$  that appears in expression for a non-terminal outside  $\mathbb{C}(N)$ , or is the start symbol, is an *entry* of its recursion class. It is the only non-terminal that can be first in a recursion path. The recursion class of Figure 1 has entry A, and recursion classes of Figure 2 have E and F as their respective entries.

To simplify presentation, we assume that each class has only one entry.

### 3. Recursive ascent

Recursive descent constructs the syntax tree implicitly, as the structure of its procedure calls. We assume that to serve any purpose, this tree has to be somehow registered. Thus, we assume that parsing procedures include "semantic actions" that actually build data structure representing the tree.

We suggest that parsing procedure for entry  $E$  builds its syntax tree in a special way, illustrated below by parsing the string 'xabay' from Figure 1. The parsing starts with procedure for non-recursive  $Z$  that, in the usual way, calls the procedures for 'x',  $A$ , and 'y'. After consuming 'x',  $Z$  applies  $A$  to 'abay'. The procedure for entry  $A$  is not the usual choice between  $A_1$  and  $a$ ; instead, it reconstructs the subtree  $A$  as follows:

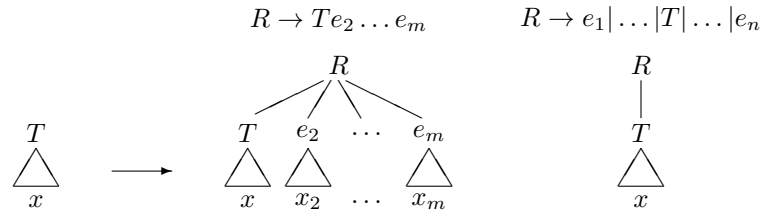
1. The recursion path from  $A$  must end with a seed. The seeds are  $a$  and  $b$ . Decide to try  $a$ .
2. Apply expression  $a$  to 'abay'. It consumes 'a', leaving 'bay'. Use  $a$  as start of the tree.
3. The only possible parent of seed  $a$  in the syntax tree is its exit  $A$ . Add  $A$  on top of the tree, with  $a$  as child.
4. We have a tree for  $A$ , but decide to continue.
5.  $A$  appears only in  $B_1 \rightarrow A b$ , so  $B_1$  is the only possible parent of  $A$ .
6. The tree for  $A$  is already constructed. Complete  $B_1$  by applying expression  $b$  to the remaining 'bay'. It consumes  $b$ , leaving 'ay'. Add  $B_1$  on top of the tree, with  $A$ ,  $b$  as children.
7.  $B_1$  appears only in  $B \rightarrow B_1 | B_2 | b$ , so  $B$  is the only possible parent of  $B_1$ .
8. Add  $B$  on top of the tree, with  $B_1$  as child.
9. The possible parents of  $B$  are  $A_1 \rightarrow B a$  and  $B_2 \rightarrow B b$ . Decide for  $A_1$ .
10. The tree for  $B$  is already constructed. Complete  $A_1$  by applying expression  $a$  to 'ay'. It consumes 'a', leaving 'y'. Add  $A_1$  on top of the tree with  $B$ ,  $a$  as children.
11. The only possible parent of  $A_1$  is  $A \rightarrow A_1$ .
12. Add  $A$  on top of the tree, with  $A_1$  as child.
13. We have a tree for  $A$ , and decide to stop. Return the tree of the consumed 'aba' to  $Z$ , which continues to consume  $y$  and constructs the tree for 'xabay'.

In general, the procedure for entry  $E$  chooses and executes one of procedures that correspond to different seeds. In the example, the choice is made in step 1, and the selected procedure consists of steps 2-13. The procedure for seed  $S$  starts with constructing the tree for  $S$  and follows by executing a procedure that adds node for the containing exit  $X$ ; then it proceeds to grow the resulting tree towards  $E$ . These are the steps 2 respectively 3-13 in our example. This may be seen as parsing procedure that implements a new expression for  $E$ :

$$E \rightarrow S_1 \$X_1 | \dots | S_n \$X_n \quad (1)$$

where  $S_i$  are all the seeds of  $\mathbb{C}(E)$  and  $X_i$  are the exits containing them.

We can see  $\$X$  as a special case of procedure  $\$R$  that adds a new node  $R$  on top of previously constructed tree. This is the case in steps 6, 8, 10, and 12 of our example. The procedure then continues to build the tree, which is in each case done in the subsequent steps up to the step 13.



**Figure 3:** Adding  $R$

The way of adding  $R$  on top of tree  $T$  depends on  $R$  as illustrated in Figure 3.

If  $R \rightarrow e_1 e_2 \dots e_m$  (where  $e_1 = T$ ),  $\$R$  builds the trees for  $e_2, \dots, e_m$ , binds them with  $T$  into the tree for  $R$ . The whole procedure can be seen as parsing procedure for new non-terminal:

$$\$R \rightarrow e_2 \dots e_m \#R \quad (2)$$

where  $e_2, \dots, e_m$  are procedures for these expressions and  $\#R$  continues the growing.

If  $R \rightarrow e_1 | \dots | e_m$  (where  $T = e_i$  for some  $i$ ), the procedure just adds  $R$  on top of  $T$  and proceeds to grow that tree. This can be seen as parsing procedure for:

$$\$R \rightarrow \#R. \quad (3)$$

Procedure  $\#R$  consists of choosing a possible parent of node  $R$  and adding that parent to the tree. This can be seen as parsing procedure for another new non-terminal:

$$\#R \rightarrow \$P_1 | \dots | \$P_n \quad (4)$$

where  $P_i$  are all members of  $\mathbb{C}$  such that  $P_i \xrightarrow{\text{first}} R$ .

In our example, the choice (4) is performed in steps 5, 7, 9, and 11.

If  $R$  is identical to  $E$ ,  $\#R$  can choose to terminate building of the tree. Therefore,  $\#E$  must have an alternative to allow that:

$$\#E \rightarrow \$P_1 | \dots | \$P_n | \$\varepsilon \quad (5)$$

where procedure  $\$\varepsilon$  returns the tree constructed for  $E$ . It was not chosen in step 4, but terminated the process in step 13.

## 4. The dual grammar

As shown above, parsing procedure for an entry expression can be implemented by a set of procedures that reconstruct portion of syntax tree bottom-up, by "recursive ascent". These procedures can be seen as parsing procedures for new non-terminals. We can add these non-terminals to the original grammar. The result for the grammar of Figure 1 is shown in Figure 4. Here we replaced the expression for entry  $A$  by (1), and added the new non-terminals appearing in it, and in their expressions. The other left-recursive non-terminals are no longer used, so they are omitted. The non-recursive non-terminals, here represented by  $Z$ , are left unchanged. This

$Z \rightarrow x A z$ $A \rightarrow a \$A \mid b \$B$ $\$A \rightarrow \#A$ $\$A1 \rightarrow a \#A1$ $\#A \rightarrow \$B1 \mid \$\varepsilon$ $\#A1 \rightarrow \$A$	$\$B \rightarrow \#B$ $\$B1 \rightarrow b \#B1$ $\$B2 \rightarrow b \#B2$ $\#B \rightarrow \$A1 \mid \$B2$ $\#B1 \rightarrow \$B$ $\#B2 \rightarrow \$B$
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**Figure 4:** Dual grammar for grammar of Figure 1

$E \rightarrow F \$E$ $\$E \rightarrow \#E$ $\$E1 \rightarrow + F \#E1$ $\#E \rightarrow \$E1 \mid \$\varepsilon$ $\#E1 \rightarrow \$E$	$F \rightarrow a \$F$ $\$F \rightarrow \#F$ $\$F1 \rightarrow * a \#F1$ $\#F \rightarrow \$F1 \mid \$\varepsilon$ $\#F1 \rightarrow \$F$
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**Figure 5:** Dual grammar for grammar of Figure 2

is the "dual grammar" of the grammar in Figure 1. Figure 5 shows the dual grammar obtained in a similar way for the grammar of Figure 2.

In general, the dual grammar is obtained as follows:

- For each entry  $E$  replace  $\mathcal{E}(E)$  by  $S_1 \$X_1 \mid \dots \mid S_n \$X_n$  where  $S_1, \dots, S_n$  are all seeds of  $\mathbb{C}(E)$ , and  $X_i$  is the exit containing  $S_i$ .
- Replace each recursive  $R \rightarrow e_1 e_2 \dots e_n$  by  $\$R \rightarrow e_2 \dots e_n \#R$ .
- Replace each recursive  $R \rightarrow e_1 \mid \dots \mid e_n$  by  $\$R \rightarrow \#R$ .
- For each  $R \in \mathbb{R}$  create:
  - $\#R \rightarrow \$P_1 \mid \dots \mid \$P_n$  if  $R \neq E$ ,
  - $\#R \rightarrow \$P_1 \mid \dots \mid \$P_n \mid \$\varepsilon$  if  $R = E$ ,

where  $P_1, \dots, P_n$  are all members of  $\mathbb{C}(R)$  such that  $P_i \xrightarrow{\text{first}} R$ , and  $E$  is the entry of  $\mathbb{C}$ .

The dual grammar is an n-tuple  $D = (\mathbb{N}_d, \Sigma, \mathbb{E}_d, \mathcal{E}_d, N_s)$ . Its set  $\mathbb{N}_d$  consists of:

- The non-recursive members of  $\mathbb{N}$ ;
- The entries to recursion classes;
- The  $\$$ -expressions;
- The  $\#$ -expressions.

In the following, the set of all non-recursive members of  $\mathbb{N}$  is denoted by  $\overline{\mathbb{R}}$ , and the set of all entries by  $\mathbb{R}_E$ . They appear as non-terminals in both  $G$  and  $D$ . The set  $\overline{\mathbb{R}} \cup \mathbb{R}_E$  of these common symbols is denoted by  $\mathbb{N}_c$ . Functions  $\mathcal{E}$  and  $\mathcal{E}_d$  assign the same expressions to members of  $\overline{\mathbb{R}}$ .

The two important facts about the dual grammar are:

**Proposition 1.** *The dual grammar is left-recursive only if the original grammar contains a cycle, that is, a non-terminal that derives itself.*

**Proof** is found in the Appendix.

**Proposition 2.**  $\mathcal{L}_D(N) = \mathcal{L}(N)$  for all  $N \in \mathbb{N}_c$ .

**Proof** is found in the Appendix.

The start symbol  $N_s$  is either non-recursive or an entry, so it appears in both grammars, and thus both grammars define the same language. It means that recursive-descent parser for the dual grammar is a correct parser for the original grammar, and its "semantic actions" build syntax tree for the original grammar.

## 5. Implementing the choices

The construction of dual grammar introduces a number of choice expressions. We assume that they are treated in the same way as those originally present in the grammar. If dual grammar has the  $LL(k)$  property, the choice can be made by looking at the next  $k$  input terminals. The dual grammar of Figure 4 is  $LL(1)$ , so decisions in steps 1, 5, 10, and 14 of the example could well be made by looking at the next terminal.

If the dual grammar is not  $LL(k)$ , the possible option is trial-and-error with backtracking. As this may result in exponential processing time, the practical solution is limited backtracking, recently being popular as the core of Parsing Expression Grammar (PEG) [5]. (As a matter of fact, the three solutions named in the Introduction are all suggested as modifications to PEG.)

The problem with limited backtracking is that it may fail to accept some legitimate input strings. For some grammars, which may be called "PEG-complete", limited backtracking *does* accept all legitimate strings. Thus, if the dual grammar is PEG-complete, it provides a correct parser for the original grammar.

There exist a sufficient condition that may be used to check if the dual grammar is PEG-complete [6].

The checks for  $LL(k)$  and PEG-completeness are carried on dual grammar. It is the subject of further research how they can be replaced by checks applied to the original grammar.

## 6. Towards full grammar

We made here two simplifying assumptions. First, we did not include empty string  $\varepsilon$  in the grammar. Second, we assumed a unique entry to each recursion class.

The result of adding  $\varepsilon$  is that some expressions may derive empty string. These expressions are referred to as *nullable*, and can be identified as such by analyzing the grammar.

Nullable  $e_1$  in  $N \rightarrow e_1 \dots e_n$  invalidates the whole analysis in Section 2. Nullable  $e_2 \dots e_n$  in recursive  $N \rightarrow e_1 e_2 \dots e_n$  invalidates the proof of Proposition 1. Except for these two cases, our approach seems to work with empty string.

The assumption of unique entry per recursion class is not true for many grammars; for example, the class of Primary in Java has four entries.

The exit alternative  $\$E$  must appear in  $\#E$  for the entry  $E$  that actually started the ascent. This can be solved by having a separate version of  $\#E$  and  $\$E$  for each entry, multiplying the number of these non-terminals by the number of entries.

A practical shortcut can exploit the fact that the ascents are nested. One can keep the stack of entries for active ascents and modify the procedure for  $\#T$  to check if  $T$  is the entry to current ascent.

## 7. Final remarks

The traditional way of eliminating left recursion is to rewrite the grammar so that left recursion is replaced by right recursion. It can be found, for example, in [7], page 177. The process is cumbersome and produces large results; most important, it loses the spirit of the grammar. Our rewriting is straightforward and produces correct syntax tree for the original grammar.

The methods described in [1, 2, 3] use memoization tables and special code to handle them. The procedures are repeatedly applied to the same input. Our approach is in effect just another recursive-descent parser.

Our idea of recursive ascent is in principle the same as that of [4], but this latter uses specially coded "grower" to interpret data structures derived from the grammar.

As indicated in Section 6, our method does not handle some cases of nullable expressions. Among them is the known case of "hidden" left recursion:  $A \rightarrow e_1 e_2 \dots e_n$  becomes left recursive when  $e_1$  derives  $\varepsilon$ . Another unsolved problem is  $E \rightarrow E + E \mid n$ , which results in a right-recursive parse for  $E$ .

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## A. Proof of Proposition 1

For  $N \in \mathbb{N}_d$  and  $e \in \mathbb{N}_d \cup \Sigma$ , define  $N \xrightarrow{\text{firstD}} e$  to mean that parsing procedure  $N$  may call parsing procedure  $e$  on the same input:

- (a) For  $N \in \overline{\mathbb{R}}$ ,  $\xrightarrow{\text{firstD}}$  is the same as  $\xrightarrow{\text{first}}$ .
- (b) For  $N \in \mathbb{R}_{\mathbb{E}}$ ,  $N \xrightarrow{\text{firstD}} S$  for each seed  $S$  of  $\mathbb{C}(N)$ .
- (c) For  $\$R \rightarrow e_2 \dots e_n \#R$ ,  $\$R \xrightarrow{\text{firstD}} e_2$ .
- (d) For  $\$R \rightarrow \#R$ ,  $\$R \xrightarrow{\text{firstD}} \#R$ .
- (e)  $\#R \xrightarrow{\text{firstD}} \$P_i$  for each  $P_i \in \mathbb{C}(R)$  such that  $P_i \xrightarrow{\text{first}} R$ .

Grammar  $D$  is left-recursive if  $N \xrightarrow{\text{FirstD}} N$  for some  $N \in \mathbb{N}_d$ , where  $\xrightarrow{\text{FirstD}}$  is the transitive closure of  $\xrightarrow{\text{firstD}}$ .

Suppose  $D$  is left-recursive, that is, exist  $N_1, N_2, \dots, N_k \in \mathbb{N}_d$  such that  $N_1 \xrightarrow{\text{FirstD}} N_2 \xrightarrow{\text{FirstD}} \dots \xrightarrow{\text{FirstD}} N_k$  and  $N_k = N_1$ . We start by showing that none of them can be in  $\mathbb{N}_c$ .

Assume, by contradiction, that  $N_1 \in \mathbb{N}_c$ . We show that in this case  $N_2 \in \mathbb{N}_c$  and  $N_1 \xrightarrow{\text{First}} N_2$ .

(Case 1)  $N_1 \in \overline{\mathbb{R}}$ . Because  $\mathcal{E}_d(N_1) = \mathcal{E}(N_1)$ ,  $N_2$  is in  $\mathbb{N}$ , and thus in  $\mathbb{N}_c$ . We have  $N_1 \xrightarrow{\text{first}} N_2$ .

(Case 2)  $N_1 \in \mathbb{R}_{\mathbb{E}}$ . According to (b),  $N_2$  is a seed of  $\mathbb{C}(N_1)$ , which is either non-recursive or an entry, and thus is in  $\mathbb{N}_c$ . For a seed  $N_2$  of  $\mathbb{C}(N_1)$  holds  $N_1 \xrightarrow{\text{First}} N_2$ .

(Conclusion) The above can be repeated with  $N_2, \dots, N_{k-1}$  to show that if any of  $N_i$  is in  $\mathbb{N}_c$ , then for all  $i$ ,  $1 \leq i \leq n$   $N_i \in \mathbb{N}_c$  and  $N_i \xrightarrow{\text{First}} N_i$ . Thus, all  $N_i$  belong to  $\mathbb{R}$  and are all in the same recursion class. As none of  $N_i$  belongs to  $\overline{\mathbb{R}}$ , they must all be in  $\mathbb{R}_{\mathbb{E}}$ . Then, in particular,  $N_1 \in \mathbb{R}_{\mathbb{E}}$ . But, according to (b),  $N_2$  is a seed of  $\mathbb{C}(N_1)$ , that cannot be a member of  $\mathbb{C}(N_1)$ . Thus,  $N_1 \notin \mathbb{N}_c$ .

It follows that each  $N_i$  is  $\$R_i$  or  $\#R_i$  for some  $R_i \in \mathbb{R}$ . If  $R_i \rightarrow e_2 \dots e_n$ , we have from (c)  $\$R_i \xrightarrow{\text{firstD}} e_2$ , and  $e_2 \in \mathbb{N}_c$ . That means all  $R_i$  are choice expressions. Thus, the sequence of  $N_i$  is a repetition of  $\$R_i, \#R_{i+1}, \$R_{i+2}$  where, according to (d),  $R_{i+1} = R_i$ , and according to (e),  $R_{i+2} \rightarrow \dots |R_{i+1}| \dots$ . That means  $R_{i+2}$  derives  $R_i$ , and in  $k/2$  steps derives itself.  $\square$

## B. Proof of Proposition 2

The proof is in terms of syntax trees. We write  $e \triangleleft x$  for syntax tree of  $x$  with root  $e$ . We write  $e \triangleleft [e_1 \triangleleft x_1 + \dots + e_n \triangleleft x_n]x$  to represent syntax tree with root  $e$  and children  $e_1 \triangleleft x_1, \dots, e_n \triangleleft x_n$  where  $x_1 \dots x_n = x$ .

To distinguish between the trees according to grammar  $G$  ( $G$ -trees) and those according to grammar  $D$  ( $D$ -trees) we use the symbols  $\triangleleft_G$  respectively  $\triangleleft_D$ .

Assuming that a terminal derives itself, we show that every string  $x$  derived from  $e \in \mathbb{N}_c \cup \Sigma$  according to  $G$  can be also derived from  $e$  according to  $D$  and vice-versa. The proof is by induction on height of syntax trees.

(Induction base) Syntax tree of height 0. This is the syntax tree of a terminal. The terminals and their syntax trees are identical in both grammars.

(Induction step) Assume that the stated property holds for all strings  $w$  having syntax tree of height  $h \geq 0$  or less. Lemmas 1 and 2 below show that it holds then for syntax trees of height  $h + 1$ .

**Lemma 1.** *Assume that for each  $e \triangleleft_G w$  of height  $h \geq 0$  with  $e \in \mathbb{N}_c \cup \Sigma$  exists  $e \triangleleft_D w$ . Then the same holds for each  $e \triangleleft_G w$  of height  $h + 1$ .*

**Proof.** Take any  $N \triangleleft_G w$  of height  $h + 1$  where  $N \in \mathbb{N}_c$ .

(Case 1)  $N \in \overline{\mathbb{R}}$ . It means  $N \rightarrow e_1 \dots e_n$  or  $N \rightarrow e_1 | \dots | e_n$ .

We have  $N \triangleleft_G [e_1 \triangleleft_G w_1 + \dots + e_n \triangleleft_G w_n]w$  respectively  $N \triangleleft_G [e_j \triangleleft_G w]w$ . Each of the subtrees  $e_i \triangleleft_G w_i$  has height  $h$  or less and each  $e_i$  is in  $\mathbb{N}_c \cup \Sigma$ . By assumption, exists  $e_i \triangleleft_D w_i$  for each  $i$ . The required  $D$ -tree is  $N \triangleleft_D [e_1 \triangleleft_D w_1 + \dots + e_n \triangleleft_D w_n]w$  respectively  $N \triangleleft_D [e_j \triangleleft_D w]w$ .

(Case 2)  $N \in \mathbb{R}_E$ . The tree  $N \triangleleft_G w$  has the leftmost path  $R_n \xrightarrow{\text{first}} \dots \xrightarrow{\text{first}} R_1 \xrightarrow{\text{first}} R_0$  where  $R_n = N$ ,  $R_i \in \mathbb{C}(N)$  for  $n \geq i > 0$ , and  $R_0$  is a seed of  $\mathbb{C}(N)$ . Define  $x_i$  to be the string derived by  $G$  from  $R_i$ , for  $0 \leq i \leq n$ . We have thus  $w = x_n$ .

Define  $w_0$  be the string derived by  $G$  from  $R_0$ , so the subtree for  $R_0$  is  $R_0 \triangleleft_G w_0$ .

Let  $1 \leq i \leq n$ . If  $R_i \rightarrow e_1 e_2 \dots e_m$ , the subtree for  $R_i$  is  $R_i \triangleleft_G [R_{i-1} \triangleleft_G x_{i-1} + e_2 \triangleleft_G v_2 + \dots + e_m \triangleleft_G v_m]x_i$ . Define  $w_i = v_2 \dots v_m$ , so  $x_i = x_{i-1} w_i$ .

if  $R_i \rightarrow e_1 | \dots | e_m$ , the subtree for  $R_i$  is  $R_i \triangleleft_G [R_{i-1} \triangleleft_G x_{i-1}]x_i$  Define  $w_i = \varepsilon$ , so we have again  $x_i = x_{i-1} w_i$ .

One can easily see that  $w = x_n = w_0 \dots w_n$ .

Define  $y_i$  to be the string derived by  $D$  from  $\$R_i$ , and  $z_i$  to be the string derived by  $D$  from  $\#R_i$ , for  $0 \leq i \leq n$ .

For entry  $R_n$  exists the tree  $\#R_n \triangleleft_D \varepsilon$ . If  $\$R_n \rightarrow \#R_n$ , exists the tree  $\$R_n \triangleleft_D [\#R_n \triangleleft_D \varepsilon]\varepsilon = w_n$ . If  $\$R_n \rightarrow e_2 \dots e_n \#R_n$ , exists by assumption  $D$ -tree for each of  $e_j$ , deriving, respectively,  $v_j$ . Thus, exists the tree  $\$R_n \triangleleft_D [e_2 \triangleleft_D v_2 + \dots + e_m \triangleleft_D v_m + \#R_n \triangleleft_D \varepsilon]v_2 \dots v_m \varepsilon = w_n$ . Define  $y_n = w_n$ .

Suppose that exists the tree  $\$R_i \triangleleft_D y_i$ . Then exists the tree  $\#R_{i-1} [\triangleleft_D \$R_i \triangleleft_D y_i]y_i$ . By construction similar to the above, we find the  $D$ -tree for  $\$R_{i-1}$  deriving  $y_{i-1} = w_{i-1} y_i$ . At the end, we find the  $D$ -tree for  $\$R_1$  deriving  $y_1 = w_1 y_2$ .

By assumption there exists  $D$ -tree for the seed  $R_0$  deriving  $w_0$ . For entry  $R_n$  we have  $R_n \rightarrow R_0 \$R_1$ , which gives the  $D$ -tree  $R_n \triangleleft_D [R_0 \triangleleft_D w_0 + \$R_1 \triangleleft_D y_1]w_0 y_1$ . One can easily see that  $y_1 = w_1 \dots w_n$ , so we have a  $D$ -tree for  $N = R_n$  deriving  $w = w_0 \dots w_n$ .  $\square$

**Lemma 2.** *Assume that for each  $e \triangleleft_D w$  of height  $h \geq 0$  with  $e \in \mathbb{N}_c \cup \Sigma$  exists  $e \triangleleft_G w$ . Then the same holds for each  $e \triangleleft_D w$  of height  $h + 1$ .*

**Proof.** Take any  $N \triangleleft_D w$  of height  $h + 1$  where  $N \in \mathbb{N}_c$ .

(Case 1)  $N \in \overline{\mathbb{R}}$ . It means  $N \rightarrow e_1 \dots e_n$  or  $N \rightarrow e_1 | \dots | e_n$ . We have  $N \triangleleft_D [e_1 \triangleleft_D w_1 + \dots + e_n \triangleleft_D w_n]w$  respectively  $N \triangleleft_D [e_j \triangleleft_D w]w$ . Each of the subtrees  $e_i \triangleleft_D w_i$  has height  $h$  or less

and each  $e_i$  is in  $\mathbb{N}_c \cup \Sigma$ . By assumption, exists  $e_i \triangleleft_G w_i$  for each  $i$ . The required  $G$ -tree is  $N \triangleleft_G [e_1 \triangleleft_G w_1 + \dots + e_n \triangleleft_G w_n] w$  respectively  $N \triangleleft_G [e_j \triangleleft_G w] w$ .

(Case 2)  $N \in \mathbb{R}_{\mathbb{E}}$ . The D-tree of  $N$  has root  $N$ , node  $S$  for seed of  $\mathbb{C}(N)$ , and nodes  $\$R_i, \#R_i$  for  $1 \leq i \leq n$ . Denote  $R_n = N$  and  $R_0 = S$ . Denote  $y_i$  the string derived by  $\$R_i$  and  $z_i$  that derived by  $\#R_i$ . We have  $z_i = y_{i+1}$  for  $i < n$  and  $z_n = \varepsilon$ . Denote by  $w_0$  the string derived by  $R_0$  and by  $w$  one derived by  $N = R_n$ .

The D-tree for  $R_n$  is  $R_n \triangleleft_D [R_0 \triangleleft_D w_0 + \$R_1 \triangleleft_D y_1] w_0 y_1 = w$ .

If  $\$R_i \rightarrow e_2 \dots e_n \#R_i$ , we have  $y_i = v_2 \dots v_m z_i$ . where  $v_j$  is the string derived by  $e_j$ . Defining  $w_i = v_2 \dots v_m$  by  $w_i$ , we have  $y_i = w_i z_i$ .

If  $\$R_i \#R_i$ , we have  $y_i = z_i$ . Defining  $w_i = \varepsilon$ , we have again  $y_i = w_i z_i$ .

We have  $z_i = y_{i+1}$  for  $i < n$  and  $z_n = \varepsilon$ , so  $y_i = w_i y_{i+1}$  for  $i < n$  and  $y_n = w_n$ . One can easily see that  $w = w_0 \dots w_n$ .

By assumption exists  $G$ -tree  $R_0 \triangleleft_G w_0$ . Suppose we have the  $G$ -tree for  $R_{i-1}$  where  $1 \leq i \leq n$  that derives  $x_{i-1}$ .

Suppose  $R_i \rightarrow R_{i-1} e_2 \dots e_m$ . By assumption exist  $G$ -trees that derive  $v_2 \dots v_m$  from  $e_2 \dots e_m$ , so exists  $G$ -tree for  $R_i$  deriving  $x_i = x_{i-1} v_2 \dots v_m = x_{i-1} w_i$ . Suppose  $R_i \rightarrow R_{i-1}$ . Then exists  $G$ -tree deriving  $x_i = x_{i-1} \varepsilon = x_{i-1} w_i$ . One can easily see that the string  $x_n$  derived by  $R_n$  is  $w_0 \dots w_n = w$   $\square$